

## Know Your Client (KYC)



Application No. :

Please fill in ENGLISH and in BLOCK LETTERS

## A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in supporting identification document).

Name PREFIX FIRST NAME MIDDLE NAME LAST NAME

Name

Maiden Name (If any)

Father's Name

Spouse Name

Mother's Name

2. Gender ☐ Male ☐ Female ☐ TransgenderB. Marital status ☐ Single ☐ Married ☐ OthersC. Date of Birth | d | d | / | m | m | / | y | y | y | y | 3. Nationality ☐ Indian ☐ Other (Please specify)4. Status Please tick (✓) ☐ Resident Individual ☐ Non Resident ☐ Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals) ☐ Person of Indian Origin

5. PAN | | | | | | | | | | Please enclose a duly attested copy of your PAN Card

Aadhaar Number, if any:

6. Proof of Identity submitted for PAN exempt cases Please Tick (✓) ☐ Passport Number ☐ Voter ID Card ☐ Driving Licence ☐ UID (Aadhaar) ☐ NREGA Job Card

Others (any document notified by the central government) Expiry date of proof of identity submitted | d | d | / | m | m | / | y | y | y | y |

PHOTOGRAPH

Please affix  
the recent passport  
size photograph and  
sign across it

## B. Address Details (please see guidelines overleaf)

1. Address for Correspondence

House / Flat Number					Floor			Premise Name												
								Street Number					Street Name							
Landmark													Locality							
City / Town / Village													District							Pin Code
State													Country							

2. Contact Details

Tel. (Off.)	(ISD)	(STD)																		
													Tel. (Res.)	(ISD)	(STD)					
Mobile	(ISD)	(STD)											Fax	(ISD)	(STD)					
Email ID																				

This Email ID mentioned above belongs to ☐ Self ☐ Spouse ☐ Dependent Parent ☐ Dependent ChildrenThe Mobile number mentioned above belongs to ☐ Self ☐ Spouse ☐ Dependent Parent ☐ Dependent Children

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents &amp; tick (✓) against the document attached.

☐ Passport ☐ UID(Aadhaar) ☐ Narega Job Card ☐ Driving License ☐ \*Latest Gas Bill ☐ Voter Identity Card ☐ \*Latest Bank A/c Statement/Passbook  
☐ \*Latest Telephone Bill (only Land Line) ☐ \*Latest Electricity Bill ☐ Others (Please specify)

\*Not more than 2 Months old. Validity/Expiry date of proof of address submitted | d | d | / | m | m | / | y | y | y | y |

4. Permanent Address of Resident Applicant if different from above B1

House / Flat Number								Floor					Premise Name							
													Street Number				Street Name			
Landmark																	Locality			
City / Town / Village																	District			Pin Code
State																	Country			

Address Type ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents &amp; tick (✓) against the document attached.

☐ Passport ☐ UID(Aadhaar) ☐ Narega Job Card ☐ Driving License ☐ \*Latest Gas Bill ☐ Voter Identity Card ☐ \*Latest Bank A/c Statement/Passbook  
☐ \*Latest Telephone Bill (only Land Line) ☐ \*Latest Electricity Bill ☐ Others (Please specify)

\*Not more than 2 Months old. Validity/Expiry date of proof of address submitted | d | d | / | m | m | / | y | y | y | y |

6. Any other information: 7. Remarks (If Any)

## DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

As per regulatory guidelines, Demat account name should be same as PAN name. Hence if there is change in existing name, we will update name available on PAN card in Demat and KRA records.

Place: | | | | | | | | | |

Date: | | | | | | | | | |

## SIGNATURE OF APPLICANT

## FOR OFFICE USE ONLY

AMC/Intermediary name OR code

☐ (Originals Verified) Self Certified Document copies received☐ (Attested) True copies of documents received

Main Intermediary

Seal/Stamp of the intermediary should contain

Staff Name  
Designation  
Name of the Organization  
Signature  
Date

IPV Done ☐ on | d | d | / | m | m | / | y | y | y | y |

Seal/Stamp of the intermediary should contain

Staff Name  
Designation  
Name of the Organization  
Signature  
Date

## FATCA-CRS Declaration & Supplementary KYC Information

(Declaration Form for Individuals)

1. PAN NO: \_\_\_\_\_.

2. Trading Account No: \_\_\_\_\_.

3. Name \_\_\_\_\_.

4. Gross Annual Income: ☐ < 1 Lac ☐ < 1-5 Lac ☐ < 5-10 Lac ☐ < 10-25 Lac ☐ > 25 Lac

OR

Net Worth in INR \_\_\_\_\_ Net Worth As On \_\_\_\_\_

5. Occupation: ☐ Private Sector Service ☐ Public Sector ☐ Government Service ☐ Business  
☐ Professional ☐ Retired ☐ Housewife ☐ Student ☐ Self employed ☐ Not Categorized

6. Politically Exposed Person (PEP): ☐ Yes ☐ Related to PEP ☐ Not Applicable

7. Are you a US Person ☐ Yes ☐ No

8. Country of Birth: ☐ India ☐ U.S Other \_\_\_\_\_

9. Citizenship: ☐ India ☐ U.S Other \_\_\_\_\_

10. Place (City/Town) of Birth (if other than India): \_\_\_\_\_

11. Primary Source of Wealth (choose any one from following)

☐ Salary ☐ Business ☐ Gift ☐ Ancestral Property  
☐ Rental Income ☐ Prize Money ☐ Royalty ☐ Others

12. Whether Tax Resident in any country other than India: ☐ Yes ☐ No

13. If for any of the above fields, the country mentioned by you is not India or if your US person status is "Yes" or you are an NRI or Foreign National, then please provide following details:

Country of Residence for Tax Purpose (other than India)/TIN issuing country	Tax Identification No. (TIN) or functional equivalent (FE) of TIN	Type of No.	Please specify FE Type/ Name (Not applicable if TIN provided)
		<input type="checkbox"/> TIN <input type="checkbox"/> FE	
		<input type="checkbox"/> TIN <input type="checkbox"/> FE	

14. Address in the foreign country of which you are a tax resident (Other than India):

City/Town/Village \_\_\_\_\_ State \_\_\_\_\_

Pin Code \_\_\_\_\_ Country \_\_\_\_\_

15. If you satisfy the criteria as mentioned in point 7 above but do not have TIN/FE, please fill the following details:

Country Name	No. other than TIN / FE	Type of No.
		<input type="checkbox"/> VISA <input type="checkbox"/> Resident no. <input type="checkbox"/> Work permit no. <input type="checkbox"/> Dependent VISA <input type="checkbox"/> Student VISA <input type="checkbox"/> Seafarer status <input type="checkbox"/> Going to the country of residence for first time*

## Declaration

I certify that,

1) The applicant is (i) an applicant taxable as a US person under the laws of the United State of America ('U.S') (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source.

2. If applicable, when the applicant is taxable as a tax resident under the laws of country outside India. I hereby declare that the details furnished are true, correct and complete to the best of my knowledge and belief. In case of any change in the above given status on a future date, I undertake to inform I-Sec/I-Bank of the same within 30 days.

3. If I am a U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.) requiring reporting under FACTA/CRS or any other laws, my account details, as required under Inter Governmental Agreement (IGA)/Multilateral Competent Authority Agreement (MCAA) signed by Indian government, would be reported by I-Sec/I-Bank to the relevant tax authority.

\_\_\_\_\_:

**Following clause applicable only if any of the indicia parameters is outside India and TIN/FE is not available since not resident for tax purpose outside India OR Country of Birth is US and US person is mentioned as "No" in point no.1:**

I hereby confirm that I am not a US Person or a resident for tax purpose in any country other than India, though my Country of Birth suggests my relation with US or one or more parameters suggest my relation with the country outside India. I am providing / already provided copy of my PAN card to I-Sec and now providing a certificate of relinquishment of US citizenship (loss of nationality) OR reasons for not having such a certificate despite relinquishing US citizenship OR for not obtaining US citizenship at birth (only if born in US)

\_\_\_\_\_  
Please specify reason

\_\_\_\_\_  
Please select any one of the below option- I am signing this document as,

☐ First Holder ☐ Bank Joint Holder ☐ DP Co Holder ☐

Name: \_\_\_\_\_ Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Please note each joint holder has to submit the above declaration separately & same shall be attached with the form

\*TIN or Functional Equivalent number to be communicated to ICICI Bank within 90 days, else Bank account may get closed.

### Who is a US person?

In case of individuals, U.S. Person means a citizen or resident of the United States. Persons who would qualify as US Persons could be Born in the United States, Born outside the United States of a US parent, Naturalized citizens, Green Card Holders, Tax residents

### Who is a Reportable Person (non US) under Common Reporting Standards (CRS)?

Under Common Reporting Standards (CRS), reportable person means Tax residents of a reportable foreign jurisdiction other than U.S.

[Please note that above information is provided only for quick reference to customers. You are requested to consult a legal/tax advisor if in doubt.]

### Documents to be collected if Customer's Country of Birth is US but declares that he/she is not a US Person

- Certificate of relinquishment of citizenship (loss of nationality certificate); OR
- Self certification for stating reasons for not having such a certificate despite relinquishing US
- citizenship; OR
- Self certification for stating reasons for not obtaining US citizenship at birth.

### What is a Functional Equivalent of TIN?

Many countries do not issue TIN to their Tax payers. However, such countries issue some other high integrity number which an equivalent level of identification. For Example:

- Social Security Number
- National Insurance Number
- Citizen or Personal Identification code or Number
- Resident Registration Number etc.

Providing TIN or a functional equivalent is mandatory for NRIs and foreign nationals and tax residents of foreign countries, In case client does not have TIN or functional equivalent number, only than VISA number can be provided as equivalent number.